

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of: Lee Martin GREENBERGER, et al.
Application No.: 10/666,722 Group Art No.: 1775
Filed: September 18, 2003 Examiner: To Be Assigned
For: METHOD OF TREATING RESISTANT TUMROS
Confirmation No.: 9014
Customer Number: 25291

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

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I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EU673381289US addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

March 30, 2004
Date

Daniel B. Moran
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- a. ☐ is filed within three months of the filing date of the application.
- b. ☒ is filed before the mailing date of a first Office Action on the merits.
- c. ☐ is filed before the mailing date of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- d. ☐ is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the mailing date of a final action under 37 CFR 1.311. This statement includes a certification under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p).
- e. ☐ is filed after the mailing date of a final action or Notice of Allowance but before payment of the issue fee. This statement includes (i) a certification under 37 CFR 1.97(e), and (ii) the fee set forth in 37 CFR 1.17(p).

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A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed, except that no copy of a pending U.S. application is enclosed, and if this patent application was filed or entered the national stage after June 30, 2003, no copy of a U.S. patent or U.S. patent application publication is enclosed.

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No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120.

Prior application is Application Number _____, filed on _____ of _____ for _____

- ☐ Concise Explanation
Documents cited above which are not in the English Language
- a. ☐ have been explained in the specification.
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Form PTO-1449 is enclosed in duplicate.

- ☐ Fees
☐ Fee for filing under 37 CFR 1.97(c) or (d) Fee: \$180.00

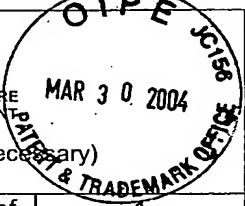
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Substitute for form 1449/PTO					Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	AM101032		
			Filing Date	September 18, 2003		
			First Named Inventor	Lee Martin Greenberger		
			Group Art Unit	1775		
			Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	AM101032	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	1.					

FOREIGN PATENT DOCUMENTS								
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		Office	Number	Kind Code (If Known)				
	2.							<input type="checkbox"/>

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.	<small>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.</small>		T
	3.	FOJO, T., ET AL.; Endocrine and Metabolic Investigational Drugs; Current Drugs; Vol. 2; No. 3; Pgs. 293-304; 2000.		<input type="checkbox"/>
	4.	LOGANZO, FRANK, ET AL.; Proceedings of the American Association for Cancer Research; Vol. 43; Pg. 265; March, 2002		
	5.	LOGANZO, FRANK, ET AL.; Cancer Research; Vol. 63; Pgs. 1838-1845; April 15, 2003.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.